



IN PLACE OF FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 10/033,075
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
Group: 1754
Atty. Docket No.: 11321-P012USD12

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SLD AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

SLD AEA AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner: Nellie Austin

Date Considered: 3/19/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

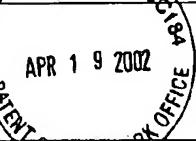
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SLAABA	EP 1 176 234 A2	12/05/1993	European	—	—	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

SLA ACA , LI, et al., "Large-Scale Synthesis of Aligned Carbon Nanotubes," *Science*, Volume 274, December 6, 1996, pp. 1701-1703.

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SLA AGA . TOHJI, et al., "Purification Procedure for Single-Walled Nanotubes," *J. Phys. Chem. B.*, Volume 101, No. 11, 1997, pp. 1974-1978.

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ALA . SEN, et al., "Structures and Images of Novel Derivatives of Carbon Nanotubes, Fullerenes and Related New Carbon Forms," *Fullerene Science and Technology*, Volume 5(3), 1997, pp. 489-502.

AMA . DRAVID, et al., "Buckytubes and Derivatives: Their Growth and Implications for Buckyball Formation," *Science*, Volume 259, March 12, 1993, pp. 1601-1604.

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AQA . GAMALY, et al., "Mechanism of carbon nanotube formation in the arc discharge," *Physical Review B*, Volume 52, Number 3, July 15, 1995-1, pp. 2083-2089.

↓ ARA . GE, et al. "Scanning tunneling microscopy of single-shell nanotubes of carbon," *Appl. Phys. Lett.*, Volume 65(18), October 31, 1994, pp. 2284-2286.

Examiner:

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